

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s)** : Dolores Garcia Gravalos et al.      **Group Art Unit:** To Be Assigned  
**Serial No.** : To Be Assigned      **Examiner** : To Be Assigned  
**Filing Date** : Enclosed herewith  
**For** : NEW INDOLOCARBAZOLE ALKALOIDS FROM  
A MARINE ACTINOMYCETE

Commissioner for Patents  
Box PCT  
Washington, D.C. 20231  
**Attention: DO/EO/US**

**PRELIMINARY AMENDMENT**

Sir:

Prior to the substantive examination of the subject application please amend thereof as follows:

In the claims:

✓  
Please amend claims 12 and 15-18 as follows. (A marked-up version of the claims is presented on pages 1-2 of the Appendix 1 attached herewith).

✓  
12 (Amended). A process for the production of a compound of formula (1) as define in claim 1, or a pharmaceutically acceptable salt thereof, comprising cultivating a strain of a microorganism capable of producing a compound of formula (1), recovering the compound of formula (1) from